







# FORMAL AND INFORMAL

Communication, very broadly, is classified into the following two categories:

**Formal communication** 

■ Informal communication

### FORMAL COMMUNICATION

Formal Communication is that, which takes place in an organisation, in a formal manner.

This type of communication has the following variations:

Downward Communication Upward Communication Sideward (or horizontal) Communication Downward Communication



Downward communication moves downwards in an organisation, from the top management to middle and lower level managements.

Such communication is imperative for organisational purposes. Mostly it consists of communicating plans and policies of the organisation to lower level managers; and in particular, issuing orders and instructions to subordinates for initiating actions.

Issuance of orders and instructions is the heart of downward communication. Some of the guiding principles for top management in this regard are suggested as follows:

**\* Orders and instructions must be complete, in all respects:** so as to leave minimum doubts requiring subsequent clarification.

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Orders and instructions must be issued in a clear language: so as to impart a full understanding of these to recipients. Moreover, the language used must not be harsh or offensive; which might create human relations problems.

Orders and instructions must be timely. Orders issued much in advance of their time of implementation, carry a likely danger of their being forgotten by the recipients, at the right time. Delayed orders lose much of their utility; as the recipients might not have ample time for their execution.

Orders and instructions must be rational i.e. in the context of the organisation and for purpose of acheiving the organisation objectives. Orders issued for making subordinates do 'some personal work' of superiors must be absolutely discouraged and discarded.

Orders and instructions must be capable of implementation: in view of the availability of organisational resources and the competence of subordinates.

Orders and instructions must preferably be in writing: so as to avoid any controversies about them subsequently i.e. controversies as to their authenticity, validity, etc. It is easier and possible also to keep records of written orders for future reference.

**\*** The purpose behind issuing orders must be explained to subordinates: so as to seek their co-operation towards their execution or rather elicit commitment from them.

Orders and instructions must be brief and to the point. This will not only save the time of the recipients; but also induce them towards immediate action.

## **Upward Communication**

This type of formal communication is really a feedback to downward communication. Upward communication proceeds upwards in an organisation from the lower level management to middle and upper levels of management.

#### Upward Communication, usually, takes the following forms:

- Reports by subordinates to superiors on work-performance.
- Grievances, problems, difficulties of subordinates forwarded to superiors, at appropriate levels.

- Suggestions and ideas of subordinates to upper management levels, for consideration and appropriate implementation.
- Clarifications sought by subordinates from superiors as to the orders and instructions issued by the latter i.e. superiors.

#### **Sideward** (or horizontal) Communication

This type of formal communication takes place among managers, placed at the same rank, in the organisation. It is necessary for achieving co-ordination of actions of individuals, doing similar type of work, under managers of equal ranks. A communication between assistant production managers is an instance of horizontal communication.

#### **INFORMAL COMMUNICATION**

Informal Communication, also called grapevine communication, takes place through informal groups, existing inside or outside the formal organisation structure.

This communication has no formal manner of routing. It might spread from any person to any person, in any manner and in any direction, like the structuring of a grapevine.

In fact, the basic cause behind the formation of many informal groups is the necessity for a mutual exchange of information related to organisational matters or social or personal matters of individuals comprised in informal groups. Hence the need for informal communication.



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### A comparison between formal and informal communication.

Formal and informal types of communication could be compared with each other in the following manner:

| Basis of<br>Distinction | Formal<br>Communication  | Informal<br>Communication   |
|-------------------------|--|---|
| 1. Speed                | Formal communication<br>is slow. The rate of<br>slowness is because of<br>the strictly defined<br>positions and protocols<br>an organisation might<br>have. This type communi-<br>cation is not suitable in<br>emergency situations. | Informal communication is very<br>fast. It spreads like an epidemics<br>as it might proceed from any<br>person to any person in any<br>manner and in any direction. As<br>such, this type communication<br>is highly suitable for<br>transmitting useful information,<br>in emergency situations. |
| 2. Authenticity         | Formal communication is mostly authentic.  | Informal communication is<br>least authentic: A possibility<br>of spreading rumors is often<br>alleged to be associated with<br>this type of communication.   |
| 3.Nature                | Formal communication is impersonal and official.   | Informal communication is<br>personal and unofficial. It is<br>sociopsychological in nature.  |
| 4.Planning              | This type of communication<br>is pre-planned. It helps<br>people understand<br>their jobs; and is<br>the basis of smooth<br>organisational life.   | This type of communication is wholly unplanned.   |
| 5. Feedback             | Feedback to communication<br>may or may not<br>be there. In fact, people<br>at lower levels might not<br>be able to express<br>themselves freely on<br>many issues.  | Immediate feedback is there.<br>People can freely express<br>their opinion about informal<br>communication, without<br>fear or favour.  |
| 6. Control              | Formal communication is<br>controllable by manage-<br>ment. In fact, people at<br>lower levels might not   | Informal communication is wholly uncontrollable.  |

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| Basis of<br>Distinction                   | Formal<br>Communication  | Informal<br>Communication   |
|---|--|---|
| 7. Distortion                             | Distortions in formal communi-<br>cation are not possible;<br>specially when it is in<br>writing.  | There are distortions in<br>informal communication<br>according to the whims and<br>prejudices of individuals.  |
| 8. Record-<br>keeping                     | Formal communication is<br>mostly written. Records<br>of communications could<br>be kept for future<br>reference.  | Source of communication is<br>not easily traceable; rather<br>it may be untraceable as to<br>who initiated the communication<br>process.              |
| 9. Fixation of<br>Responsiblity           | As the source of<br>communication is<br>known, responsibility for<br>communication could be<br>fixed on individuals.   | As the initiation of the communi-<br>cation process becomes<br>untraceable, responsibility for<br>communication cannot be fixed<br>on any individual. |
| 10. Resistance                            | Formal communication<br>being official, usually<br>meets with resistance,<br>on the part of the<br>subordinates.   | Question of resistance to<br>this type of communication<br>does not arise, as it is<br>social and personal in<br>nature.                              |
| 11. Secrecy of<br>confidential<br>matters | Confidential information<br>does not leak in the<br>formal system of<br>communication. Formal<br>communication in<br>regard to confidential<br>matters, could be kept<br>restricted. | Confidential information<br>could not be kept<br>confidential, as the<br>information spreads in a<br>grapevine manner, like an<br>epidemic.           |
| 12. Rigidity<br>or<br>flexibility         | Formal communication is<br>based on the plans,<br>rules and policies of the<br>organisation which are<br>quite fixed, at least, in<br>the short-run. It is rigid<br>in nature.       | Informal communication is quite<br>flexible, as it is based on<br>the personal likes and<br>dislikes of individuals,<br>which are ever changing.      |
| 13. Mutual<br>support                     | Formal commuication is<br>done only for organisa-<br>tional purposes. It does<br>not support informal<br>communication.  | Informal communication<br>support the formal<br>communication. It, in fact,<br>fills in gaps of formal<br>communication.                              |

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